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PATENT  
Docket No. 56823US004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

DAVID C. KNOLL and DIANE E.  
MOREL

Serial No.: 09/930,940  
Filed: August 16, 2001

For: METHODS OF MANAGING THE  
TRANSFER, USE, AND  
IMPORTATION OF DATA

Group Art Unit: 2171

Examiner: **RECEIVED**

MAR 19 2002

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**LATE SUBMISSION OF OATH UNDER 37 CFR 1.53(d)  
AND TRANSMITTAL OF ASSIGNMENT FOR RECORDING**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is a Declaration, Power of Attorney and Petition for the above-identified application, along with a copy of the Patent and Trademark Office's Notice of October 10, 2001. An assignment to 3M Innovative Properties Company is also enclosed.

Authorization is hereby provided to charge any fee required in connection with this paper, including the \$130.00 fee under 37 CFR 1.16(e) for a surcharge for filing this late Oath, to Deposit Account No. 13-3723. Please also charge the fee of \$40.00 for recording of the assignment, which is hereby requested, to the same deposit account.

A separate cover letter directed to the Assignment Branch is enclosed to which the assignment is attached.

The Filing Receipt received with this Notice also did not include any Applicant(s) information and has been corrected in red on the enclosed copy. A corrected

filing receipt with these corrections would be greatly appreciated.

Having now complied with all of the requirements of 37 CFR 1.53, applicant respectfully requests that this application be placed on the files for examination.

Respectfully submitted,



Peter L. Olson  
Registration No. 35,308  
Attorney for Applicants

PLO:jlh/56823-004 CIP Late Oath document  
Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427  
(651) 733-6750  
Facsimile (651) 736-3833

Dated: October 29, 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/930,940	08/16/2001	2171	1394	56823US004		42	2



Office of Intellectual Property Counsel  
3M Innovative Properties Company  
PO Box 33427  
St. Paul, MN 55133-3427

CONFIRMATION NO. 2558  
FILING RECEIPT



\*OC000000006879359\*

Date Mailed: 10/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) David C. Knoll, Lakeland, MN; Diane E. Morel, Shoreview, MN

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/882,969 06/15/2001

Foreign Applications

If Required, Foreign Filing License Granted 10/10/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Methods of managing the transfer, use, and importation of data

Preliminary Class

707

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APPLICATION NUMBER	RECEIPT/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930,940	JAN 29 2002 18/16/2001		56823US004

RECEIVED  
JAN 29 2002  
U.S. PATENT & TRADEMARK OFFICE

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
PO Box 33427  
St. Paul, MN 55133-3427

CONFIRMATION NO. 2558

KEY (PLD) FORMALITIES LETTER

DUCKETED BY

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Date Mailed: 10/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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